

Kirinyaga University

# STANDARD PROCUREMENT PREQUALIFICATION DOCUMENT

(Goods, Services, Works, Equipment, Supply and Installation Contracts)

PREQUALIFICATIONS CLOSING DATE AND TIME (DEADLINE FOR SUBMISSION)

Thursday 6th May 2021 at 11.00 A.M East African Time

# **PREQUALIFICATIONS OPENING DATE AND TIME:**

Thursday 6th May 2021 at 11.00 A.M East African Time

*THE VICE CHANCELLOR KIRINYAGA UNIVERSITY Tel: +254 701562092, +254 728499650, +254 709742000/30 Email: info@kyu.ac.ke P.O. Box 143-10300 Kerugoya. Website: www.kyu.ac.ke* 

**APRIL, 2021** 

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# SECTION I- INVITATION FOR PREQUALIFICATION (IFQ)

### **BIDDERS SHOULD READ THESE INSTRUCTIONS CAREFULLY**

- 1. Kirinyaga University hereinafter referred as "Procuring entity" intends to prequalify candidates for the following (supply and delivery of goods, services, equipment, installation works. Prequalification is open to all interested candidates.
- 2. Eligible candidates are free to make enquiries about the prequalification documents from Kirinyaga University, *P.O. BOX 143-10300, KERUGOYA* from the procurement office during normal working hours or via email to procurement@kyu.ac.ke CC endirangu@kyu.ac.ke
- 3. Interested bidders should select **ONE CATEGORY ONLY PER SET OF TENDER DOCUMENTS**. Bidders who select more than one category in one set will be disqualified. Bidders should print and submit one set per category.
- 4. Companies registered under AGPO can also apply for prequalification for the open categories, **BUT** should ensure that the meet the Mandatory criteria indicated.
- 5. Applications for prequalification must be submitted enclosed in plain sealed envelopes marked with the prequalification name and reference number and deposited in the tender box at Kirinyaga University, addressed to:

### The Vice Chancellor Kirinyaga University P.O.BOX 143-10300 Kerugoya

So as to be received on or before **Thursday 6th May 2021 at 11.00 A.M East African** Time

- 6. All candidates whose applications will have been received before the closing date and time will be advised in due course, of the results of their applications. Only candidates prequalified under this prequalification process will be invited to submit quotations/ proposals/ restricted tenders.
- 7. Candidates should clearly indicate the category in which they wish to be prequalified. This should also be clearly indicated in the sealed envelope.

# EACH APPLICATION FOR PREQUALIFICATION SHOULD INDICATE ONLY ONE CATEGORY FOR CONSIDERATION.

8. Candidates who wish to be considered in more than one category should APPLY IN A SEPARATE TENDER DOCUMENT.

# **Mandatory Requirements for Pre-qualification**

# A. Companies and businesses NOT registered under AGPO

# Document submitted should be well serialized and bound. LOOSE PAPERS WILL NOT BE CONSIDERED.

- 1) Copies of certificate of incorporation or registration of business/consultancy issued by the Registrar of business names, Kenya
- 2) Copies of pin certificate of firm/company/individual issued by KRA
- 3) Copy of valid KRA Tax compliance certificate valid as at the time of tender opening
- 4) Membership certification from regulatory & professional bodies (where applicable) as indicated in the categories
- 5) Company profile indicating company line of business, experience and volume of business handled in the last three (3) years
- 6) Audited financial statement for the last one year **OR** six months' bank statements for the company/ business/ individual.
- 7) Letters of recommendations from at least three (3) major clients where the company/ business has worked for the last three years. The letters of recommendations should be in the letter head of the organization and should include the contact details of that organization.
- 8) All mandatory forms signed and stamped
- 9) Valid Single business permit from the county where the business office is located.

# **B.** Companies and Businesses registered under AGPO

For youth, women, or persons with disabilities who wish to be pre-qualified in any category, kindly submit the following documents;

Document submitted should be well serialized and bound. LOOSE PAPERS WILL NOT BE CONSIDERED

- 1. Copies of certificate of incorporation or registration of business/consultancy issued by the Registrar of companies/business names, Kenya
- 2. Copies of pin certificate of firm/company/individual issued by KRA
- 3. Brief Company profile indicating a specific business line.
- 4. Valid Certificate from AGPO. Expired certificates will not be considered
- 5. Copy of valid KRA tax compliance/tax Exemption certificate valid as at the time of tender opening
- 6. Membership certification from regulatory & professional bodies (where applicable) as indicated in the categories
- 7. Reference letter from the company bankers showing financial standing of the firm
- 8. Valid Single business permit from the county where the business is located.
- 9. All mandatory forms signed and stamped

# C. MANDATORY FORMS TO BE FILLED IN THE PRE- QUALIFICATION DOCUMENT BY ALL APPLICANTS

- 1.0 Mandatory letter of application
- 2.0 General information form
- 3.0 General experience record
- 4.0 Joint Venture summary (if applicable)
- 5.0 Particular experience record
- 6.0 Details of previously undertaken contracts of similar nature and complexity
- 7.0 Summary sheet on current contract commitments/works in progress
- 8.0 Personnel capabilities
- 9.0 Candidate summary (details of directors)
- 10.0 Equipment capability
- 11.0 Financial capability
- 12.0 Litigation History

# List of Categories (Please TICK/ HIGHLIGHT only ONE). (BIDDERS WHO SELECT MORE THAN ONE CATEGORY SHALL BE DISQUALIFIED) USE A DIFFERENT TENDER DOCUMENT FOR OTHER CATEGORIES

PQ Serial Number	Category Description	Target Group	Bidder to select only one per SET OF DOCUMENT (√)	Category TENDER
KyU/PQ/001/2021-2023 Financial years	Supply and delivery of computers, laptops, computer accessories & office machines (Valid Certificate of accreditation from ICT Authority is Mandatory for this category)	OPEN		
KyU/PQ/002/2021-2023 Financial years	Supply, delivery, installation, repair and maintenance of security alarm systems and CCTV and other security systems. (Valid Certificate of accreditation from ICT Authority is Mandatory for this category)	OPEN		
KyU/PQ/003/2021-2023 Financial years	Supply, delivery, installation, repair and maintenance of VHF Radio Communication Equipment (Valid Certificate of accreditation from ICT Authority is Mandatory for this category)	OPEN		

KyU/PQ/004/2021-2023 Financial years	<ul> <li>Provision of Bulk SMS and related services</li> <li>(Valid Certificate of accreditation from ICT Authority is Mandatory for this category)</li> </ul>	OPEN	
KyU/PQ/005/2021-2023 Financial years	Supply, Delivery, repair and maintenance of furniture, fixtures and fittings	OPEN	
KyU/PQ/006/2021-2023 Financial years	Supply, delivery, repair and maintenance of machines and equipment in clothing technology	OPEN	
KyU/PQ/007/2021-2023 Financial years	Supply, delivery, repair and maintenance of tools, material and equipment in automotive technology	OPEN	
KyU/PQ/008/2021-2023 Financial years	Supply, delivery and calibration of kitchen cutlery, utensils and equipment	AGPO	
KyU/PQ/009/2021-2023 Financial years	Supply and delivery of farm inputs including fertilizers, manure, seeds E.T.C.	OPEN	

KyU/PQ/010/2021-2023 Financial years	Supply and delivery of text books, journals and e-books	OPEN	
KyU/PQ/011/2021-2023 Financial years	Supply and delivery of motor vehicle tyres and batteries.	OPEN	
KyU/PQ/012/2021-2023 Financial years	Supply and delivery of general hardware materials.	OPEN	
KyU/PQ/013/2021-2023 Financial years	Supply and delivery of timber and timber products.	OPEN	
KyU/PQ/014/2021-2023 Financial years	Supply and delivery of ICT Software's and Anti-virus (Valid Certificate of accreditation from ICT Authority is Mandatory for this category)	AGPO	
KyU/PQ/015/2021-2023 Financial years	Provision of training services in ICT (Registration with NITA is Mandatory for this category)	OPEN	
KyU/PQ/016/2021-2023 Financial years	Provision of asset valuation and asset tagging services.	OPEN	
KyU/PQ/017/2021-2023 Financial years	Provision of Signage and bill boards.	AGPO	

KyU/PQ/018/2021-2023 Financial years	Provision of architectural services.	OPEN	
KyU/PQ/019/2021-2023 Financial years	Provision of fumigation and pest control services.	AGPO	
KyU/PQ/020/2021-2023 Financial years	Provision of consultancy services in ICT (Including but not limited to ERP, and other Microsoft Solutions) (Must be a Microsoft Partner)	OPEN	
KyU/PQ/021/2021-2023 Financial years	Contractors for small works, partitioning, repairs/renovations& maintenance (NCA Class 1-7) Valid NCA Certificate is Mandatory for applicants	AGPO	
KyU/PQ/022/2021-2023 Financial years	Provision of landscaping services (NCA 1-7 is Mandatory)	OPEN	
KyU/PQ/023/2021-2023 Financial years	Provision of consultancy services (including baseline surveys, team building, ISO and Counseling services) (Registration with NITA is Mandatory for this category)	OPEN	

KyU/PQ/024/2021-2023 Financial years	Supply and delivery of water treatment equipment, calibration, maintenance and repair services	OPEN	
KyU/PQ/025/2021-2023 Financial years	Provision of Event organizing / Entertainment Services/ Hiring of Tents and chairs	OPEN	
KyU/PQ/026/2021-2023 Financial years	Supply, delivery, calibration, maintenance and repair of medical equipment	OPEN	
KyU/PQ/027/2021-2023 Financial years	Provision of staff uniforms, footwear & other protective gear and other clothing items linen, provision of curtain materials, curtain rails & Supply & fitting of blinders, sewing threads e.t.c.	AGPO	
KyU/PQ/028/2021-2023 Financial years	Supply and delivery of building materials including but not limited to sand, ballast, cement etc	OPEN	
KyU/PQ/029/2021-2023 Financial years	Supply and delivery of electrical materials, tools and equipment	OPEN	

KyU/PQ/030/2021-2023 Financial years	Supply, delivery and maintenance of firefighting equipment and fire alarm systems	OPEN	
KyU/PQ/031/2021-2023 Financial years	Supply and delivery of sports equipment and uniforms	OPEN	
KyU/PQ/032/2021-2023 Financial years	Supply and delivery for medical laboratory reagents for training purposes and for the University health section	OPEN	
KyU/PQ/033/2021-2023 Financial years	Supply, repair and maintenance of telephone and telecommunication equipment (PABX, telephones, faxes & related accessories) (Registration as a telecommunication contractor is mandatory)	OPEN	
KyU/PQ/034/2021-2023 Financial years	Supply and Delivery of cleaning materials including but not limited to detergents, tissue papers etc.	AGPO	
KyU/PQ/035/2021-2023 Financial years	Consultants for VCT and HIV testing and counselling services	OPEN	

KyU/PQ/036/2021-2023 Financial years	Suppliers for provision of security printing to print certificate and transcripts with security features (holograms & watermarks).	OPEN
KyU/PQ/037/2021-2023 Financial years	Supply, delivery, Calibration, servicing, repair of training mechanical and electrical engineering equipment	OPEN
KyU/PQ/038/2021-2023 Financial years	Supply and delivery of human drugs and medicines for the University health section (Registration with pharmacy and poisons board is mandatory for this category)	OPEN
KyU/PQ/039/2021-2023 Financial years	Supply, delivery, installation, and servicing of Air conditioners, refrigerators and related items	OPEN

# Note

- 1. The IFPQ shall be advertised as per the procurement law and the regulations
- 2. The IFPQ provides information that enables candidates to decide whether or not to participate.
- 3. The IFPQ shall be incorporated into the prequalification document and the information contained in the IFPQ shall conform to it.

# KIRINYAGA UNIVERSITY INVITATION FOR PREQUALIFICATION FOR FY 2019-2020 & 2020-2021SECTION II -INSTRUCTIONS TO CANDIDATES

#### Notes on Instructions to Candidates

- 1. The instructions to candidates should provide the candidates with adequate information to facilitate preparation and submission of a good prequalification application.
- 2. All the blank spaces should be properly completed by the procuring entity before the prequalification document is given to the candidates.
- 3. The note accompanying the clauses in this part are not part of the text. They are meant to assist the procuring entity when preparing the prequalification document. The notes should not be incorporated in the actual prequalification documents.
- 4. Where in the text alternative clauses are shown, the procuring entity should select those suitable for the particular contract and discard the alternative text that will not apply. This should also be clarified in the appendix to instructions to candidates.
- 5. The instructions to candidates may be amended or supplemented by clauses in the appendix to instructions to candidates.

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#### SECTION II - INSTRUCTIONS TO CANDIDATES

#### 2.1 Scope of Tender

- 2.1.1 The *Kirinyaga University* hereinafter referred to as the procuring entity intends to prequalify contractors for the following (supply and delivery or provision of goods or services). It is expected that prequalification applications will be submitted to be received by the procuring entity not later than Thursday 6th May 2021 at 11.00 A.M East African Time
- 2.1.2 Prequalification is open to eligible firms and voluntarily formed joint ventures as indicated in appendix to instructions to candidates.
- 2.1.3 General information on the climate, hydrology, topography, access to site, transportation and communications facilities, medical facilities, project layout, expected construction period, facilities, services provided by the procuring entity and (other relevant date) are as specified in the appendix to instructions to candidates. The contract will be a unit price/lump sum/turnkey contract. The tender documents will be (*the tender document to be used after prequalification*).

#### 2.2 Submission of Application

- 2.2.1 Applications for prequalification shall be submitted in sealed envelopes marked with the tender name and reference number and deposited in the tender box at (address and location) or be addressed to (Kirinyaga University College, P.O. Box 143-10300.Kerugoya) so as to be received on or before Thursday 6th May 2021 at 11.00 A.M East African Time The procuring entity reserves the right to accept or reject late applications.
- 2.2.2 The name and mailing address of the applicant may be marked on the envelope.
- 2.2.3 All the information requested for pre qualification shall be provided in the English language. Where information is provided in any other language, it shall be accompanied by a translation of its pertinent parts into English language. The translation will govern and will be used for interpreting the information.
- 2.2.4 Failure to provide information that is essential for effective evaluation of the applicant's qualifications or to provide timely clarification or sub substantiation of the information supplied may result in the applicant's disqualification.
- 2.2.5 A consultations and clarifications meeting will be held at (*place, date and time*) at which applicants may request clarifications of the prequalification document. Attendance of this meeting will be voluntary
- Note "The information in 2.2.5 should only be used if a consultations and clarifications meeting is necessary and planned. Such meetings are normally called only for very large and/or complex procurements.

### 2.3 Eligible Candidates

- 2.3.1 This invitation for pre-qualification is open to all candidates who are eligible as defined in Kenya's Public Procurement Law and regulations and as indicated in the appendix to instructions to candidates.
- 2.3.2 The procuring entity's employees, committee members, board members and their relative (spouse and children) are not eligible to participate in the tender unless where specially allowed under section 131 of the Act.
- 2.3.3 All terms found capable of performing the contract satisfactorily in accordance to the set prequalification criteria shall be prequalified.
- Note "The eligibility criteria must comply with the law. It should not deliberately discriminate or inhibit competition

# 2.4 Qualification Criteria

- 2.4.1 Pre-qualification will be based on meeting the minimum requirements to pass in the criteria set as shown below. The declaration will be either pass or fail regarding the applicant's general and particular experience, personnel and equipment capabilities and financial position as demonstrated by the applicant's response in the forms provided in Section IV and submitted with the letter of application. The procuring entity reserves the right to waive minor deviations if they do not materially affect the capability of an applicant to perform the contract.
- 2.4.2 When highly specialized inputs (especially for execution of the contact) are required by the applicant from specialist sub-contractors, such subcontractors and their inputs shall be described in the Standard Form 1 (General Information)

# 2.4.3 General Experience. The applicant shall meet the following minimum criteria

(a) Average annual turnover as main contractor (defined as billing for works in progress and completed) over the last three (3) years and

(b) Successful experience as prime contractor in execution of at least three projects (17) of a nature and complexity comparable to the proposed contract within the last \_\_\_\_\_\_ (5) years. This experience should include \_\_\_\_\_\_

- (18)
- Note (15) This time is normally five years but may be less if circumstances warrant I it
  - (16) Usually not less than 2 <sup>1</sup>/<sub>2</sub> times the estimated annual cash flow in the proposed contract or works (based on a straight line projection) the coefficient may be smaller for very large or very small contract, but not les than 1.5, and should take into consideration special contract/work circumstances
  - (17) May be reduced or increased
  - (18) Indicate in this part the critical experience for the proposed works/contract
- 2.4.4 Personnel capabilities. The applicant must have suitably qualified personnel to fill the following positions

Position	Total experience (years)	In similar works (years)	As manager of similar works (years)
Project Manager	(years)	(years)	works (years)
i i ojeet ivianagei			
Other Position			
Other position			

- Note (19) List only Key management specialist positions. Do not include principals, head office personnel who are not key to the project/works and other nonspecialist personnel. The experience requirements should be specified
- 2.4.5 Equipment capabilities. The applicant should own, or have assured access (through hire, lease, purchase agreement, availability of manufacturing equipment, or other means) the following key items of equipment in full good working order, and must demonstrate that, based on known commitments they will be available for use in the proposed contract. The applicant may also list alternative equipment that it would propose for the contract together with an explanation of the proposal

Equipment type and characteristics	Minimum number required
1)	
2)	
3)	

- Note Paragraph 2.4.5 applies mainly to construction works. However, it may be adapted for some heavy engineering contract, supply and installation and other contracts requiring production capacity. Insert a list of the key equipment need based on specific items or on performance criteria appropriate. The items listed shall be limited to major items of equipment that are crucial to the proper and timely execution of the contract, and items that applicants may not readily be able to purchase, hire or lease in the required time frame.
- 2.4.6 Financial position. The applicant shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit and other financial means sufficient to meet the construction cash flow for a period of 5(five) \_\_\_\_\_ months, estimated at Kshs. 5million (21), net of the applicants commitments for other contracts.
- Note (21) This figure should be accurate to adequately reflect the financial cash flow commitment for the contract
- 2.4.7 The audited Accounts (22) for the last <u>three</u> (23) years shall be submitted and must demonstrate the soundness of the applicant's financial position, showing long-term profitability. Where necessary the procuring entity will make inquiries with the applicant's bankers.

Note (22) Audited Accounts requirement may be relaxed by the procuring entity especially for firms owned by individuals and partnerships where the law does not require them to be prepared.
(23) This period is usually five years but may be reduced in special contract/works circumstances.

2.4.8 Litigation history the applicant should provide accurate information on any litigation or arbitration resulting from contracts completed or under its execution over the last five years. A consistent history of awards against the applicant or any partner of a joint venture may result in failure of the application.

#### 2.5 Joint Venture

- 2.5.1 Joint ventures must comply with the following:-
  - (a) Following are the minimum qualification requirements.
  - (i) The lead partner shall meet not less than (not less than (%) of all the qualifying criteria in paras's 2.4.3 and 2.4.6 above (24)
- Note (24) Care should be exercised in drafting this clause. In certain types of projects, joint ventures are formed by assembling the specialist skills of various contractors (e.g. civil, electrical, mechanical, and industries). In such cases, the provisions referring to the lead partner and "other partners" must be tailored to particular circumstances o the project

(ii) The other partners shall meet individually not less than (%) of all the qualifying criteria given in para. 2.4.3 and 2.4.6 above

(iii) The joint venture must satisfy collectively the criteria of section 4, for which propose the relevant figures for each of the partners shall be added together to arrive at the joint ventures total capacity. Individual members must each satisfy the requirements of para 2.4.7 and 2.4.8 above.

(b) The formation of a joint venture after pre-qualification and any change in a prequalified joint venture will be subject to the written approval of the procuring entity prior to the deadline for submission of bids. Such approval may be denied if (i) partners withdraw from a joint venture and the remaining partners do not meet the qualifying requirements (ii) the new partners to a joint venture are not qualified, individually or as an another joint venture; or (iii) in the opinion of the procuring entity a substantial reduction in competition may result.

(c) Any future bid shall be signed so as to legally bind all partners, jointly and severally, and any bid shall be submitted with a copy of the joint venture agreement providing the joint and several liability with respect to the contract.

2.5.2 The pre-qualification of a joint venture does not necessarily pre-qualify any of its members individually as a member in any other joint venture or association. In case

of dissolution of a joint venture, each one of the constituent firms may prequalify if it meets all the prequalification requirements, subject to a written approval of the procuring entity

#### 2.6 Public Sector companies

2.6.1 Any public owned enterprise may be eligible to qualify if, in addition to meeting all the above requirements, it is also legally and financially autonomous, it operates under commercial law, and it is not a dependent agency of another public entity.

### 2.7. **Conflict of Interest**

2.7.1 The applicant (including all members of a joint venture) shall not be associated, nor have been associated in the past, with the consultant or any other entity that has prepared the design, specifications, and other prequalification and bidding documents for the project, or with an entity that was proposed as engineer for the contract. Any such association must be disclosed and may result in the disqualification of the applicant.

### 2.8. Updating Pre-Qualification Information

2.8.1 Pre-qualified candidates shall be required to update the financial information used for pre-qualification at the time of submitting their bids and to confirm their continued compliance with the qualification criteria. A bid shall be rejected if the applicant's qualification thresholds are no longer met at the time of bidding.

# APPENDIX TO INSTRUCTIONS TO CANDIDATES

#### Notes on the appendix to instructions to Candidates

- 1. The appendix to candidates to tenderers is intended to assist the procuring entity in providing specific information which may not be adequately covered by the clauses in the instructions to candidates.
- 2. The appendix may amend any information in the instructions to candidates.
- 3. The procuring entity should use the appendix to ensure that all relevant information to the candidates relating to a particular pre-qualification is included.

### APPENDIX TO INSTRUCTIONS TO CANDIDATES

The following instructions for the pre-qualification of candidates shall supplement, complement or amend the provisions of the instructions to candidates.

Where there is a conflict between the provisions of the instructions to candidates and the provisions of the appendix, the provisions of the appendix herein shall prevail over those of the instructions to the candidates.

#### Note

To be completed appropriately by the procuring entity. Reference will be made to the relevant clause of the Instructions to Candidates.

Instructions to tenderers	Particulars of appendix to instructions to tenderers
2.1	Particulars of eligible tenderers: <b>Eligible Registered Kenyan</b> <b>Companies offering goods, services or works as indicated.</b>
2.2	Submission of applications: Only one copy of prequalification document is required per category of goods, services or works. Bidders who wish to apply for more than one category should use different documents. Applications for prequalification shall be submitted in sealed envelopes marked with the tender name and reference number and deposited in the tender box at (address and location) or be addressed to (Kirinyaga University College, P.O. Box 143-10300.Kerugoya) so as to be received on or before <b>Thursday 6th May 2021 at 11.00 A.M East African Time</b>
2.3,2.4	Eligible candidates; qualification criteria: The eligibility criteria is indicated on page 5 for both AGPO registered and Non- AGPO registered firms

#### SECTION III - LETTER OF APPLICATION

### Notes on letter of application

The letter of application will be prepared by the applicant and will follow the form presented herein.

The letter of application will be prepared on the letterhead paper of the applicant or partner responsible for a joint venture and will include full postal address, telephone numbers, fax number and Email address.

The letter of application will be signed by duly authorized representatives of the applicant.

Any clause which does not apply to the applicant in the letter of application herein shall be deleted by the applicant.

SECTION III - LETTER OF APPLICATION

Date .....

To the Vice Chancellor, Kirinyaga University P.O. Box 143-10300 **Kerugoya** 

Dear Madam,

1. Being duly authorized to represent and act on behalf of \_\_\_\_\_

*(name of firm)* (hereinafter referred to as "the Applicant"), and having reviewed and fully understood all of the pre qualification information provided, the undersigned hereby apply to be prequalified by yourselves as a bidder for the following contract(s) under (Tender No. and Tender name)

Tender number	Tender name
1.	

- 2. Attached to this letter are copies of original documents defining
  - (a) the Applicant's legal status
  - (b) the principal place of business and
  - (c) the place of incorporation (*for applicants who are corporations*), or the place of registration and the nationality of the owners (for applicants who are partnerships or individually-*owned firms*).
- 3. Your Agency and its authorized representatives are hereby authorized to conduct any inquiries or investigations to verify the statements, documents, and information submitted in connection with this application, and to seek clarification from our bankers and clients regarding any financial and technical aspects. This letter of Application will also serve as authorization to any individual or authorized representative of any institution referred to in the supporting information, to provide such information deemed necessary and as requested by yourselves to verify statements and information provided in this application, such as the resources, experience, and competence of the Applicant.
- 4. Your Agency and its authorized representatives may contact the following persons for further information.

General and managerial inquiries		
Contract 1	Telephone 1	
Contract 2	Telephone 2	

Personnel inquiries	
Contract 1	Telephone 1
Contract 2	Telephone 2

Technical inquiries	
Contract 1	Telephone 1
Contract 2	Telephone 2

Financial inquiries	
Contract 1	Telephone 1
Contract 2	Telephone 2

- 5. This application is made with the full understanding that:
  - (a) bids by prequalified applicants will be subject to verification of all information submitted for prequalification at the time of bidding.
  - (b) Your Agency reserves the right to:
    - amend the scope and value of any contracts bid under this project; in such event, bids will only be called from prequalified bidders who meet the revised requirements; and
    - reject or accept any application, cancel the prequalification process, and reject all applications
  - (c) your Agency shall not be liable for any such actions and shall be under no obligation to inform the Applicant of the grounds for them
- 6. Appended to this application, we give details of the participation of each party, including capital contribution and profit/loss agreements, in the joint venture or association.
- 7. We also specify the financial commitment in terms of the percentage of the value of the <each> contract, and the responsibilities for execution of the <each> contract.
- 8. We confirm that if we bid, that bid, as well as any resulting contract, will be:
  - (a) signed so as to legally bind all partners, jointly and severally; and
  - (b) submitted with a joint venture agreement providing the joint and several liability of all partners in the event the contract is awarded to us.
- 9. The undersigned declare that the statement made and the information provided in the duly completed application are complete, true, and correct in every detail.

Signed	Signed
Name	Name
For and on behalf of (name of	For and on behalf of (name of partner if any)
Applicant or lead partner of a joint	
venture)	

Signed	Signed
Name	Name
For and on behalf (name of Partner)	For and on behalf of (name of partner)

Signed	Signed
Name	Name
For and on behalf (name of Partner)	For and on behalf of (name of partner)

### SECTION IV - STANDARD FORMS

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<b>SECTION IV -</b>	STANDARD FORMS	

# Notes on completion of Standard Forms

Application Form 1	-	General information This form is to be completed by all applicants. Where the applicant proposes to use sub-contractors the information should be supplied in this format. Where there is a joint venture, each partner shall complete the form
Application Form 2	-	General Experience Record This form is to be completed by all applicants. Separate sheets should be used for each partner of a joint venture. Applicants are not required to enclose testimonials, certificates or publicity materials with their applications.

- Application Form 2A Joint Venture Summary This form is to be completed by joint venture applicants only.
- Application Form 3 Particular Experience Record This form is to be completed by all applicants meeting the requirement set out in the instructions to candidates. Separate sheets shall be used for each member of or joint venture. Complimentary information will be given on application Form 3A.
- Application Form 3A Details of Contracts of similar nature and complexity This form shall be completed by all applicants and will contain similar works completed by the applicant or a member of a joint venture
- Application Form 4 Summary sheet. Contract commitments/work in progress This form is to be completed by all applicants including each member of a joint venture. It shall contain the current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.
- Application Form 5 Personnel Capabilities This form is to be completed by all applicants. It shall include specific positions essential to contract implementation. The applicants shall provide the names of at least two candidates qualified to meet the specified requirements stated for each position. The data on their experience shall be supplied on Form 5A
- Application Form 5A Candidate Summary This form is to be completed by all applicants. The information provided will complement information on Form 5. A separate form shall be used for each personnel

Application Form 6 - Equipment Capability This form is to be completed by all applicants. It should provide adequate information to demonstrate clearly that the applicant has the capability to meet the requirements for each and all items of equipment listed in the instructions to candidates. A separate form shall be prepared for each item of equipment or for alternative equipment proposed by the applicant. Application Form 7 - Financial Capability This form shall be completed by every applicant and each member of a joint venture. It should contained financial information to demonstrate that they meet the requirements stated in the instructions to candidates. If necessary separate sheets should be used to provide complete banker information. A copy of the audited balance sheet if available should be attached. The information should include the summary of actual assets and liabilities for the last five years.

Application Form 8 - Litigation History
 This form is to be completed by all applicants including each member of a joint venture. It should provide information on any history of litigation or arbitration resulting from contracts executed in the last five years or currently under execution. A separate sheet should be used for each member of a joint venture

 Form RB1 - Request for Review
 This form is only to be filled by the tenderer when aggrieved by the Procuring Entity and submitted to the Review Board

days after date of notification of award

at anytime during the tender process but not later than 14

# **APPLICATION FORM (1)**

# **GENERAL INFORMATION**

1.	Name of firm	
2.	Head office address	
3.	Telephone	Contact
4.	Fax	E-mail
5.	Place of incorporation/registration	Year of incorporation/registration

	National ty of owners		
	Name	Nationality	
1.			
2.			
3.			
4.			
5.			

# **APPLICATION FORM (2)**

# GENERAL EXPERIENCE RECORD

Name of Applicant or partner of a joint venture

Annual turnover data			
Year	Turnover	Kshs.	
1.			
2.			
3.			
4.			
5.			

# **APPLICATION FORM (2A)**

# JOINT VENTURE SUMMARY

Names of all partners of a joint venture
1. Lead partner
2. Partner
3. Partner
4. Partner
5. Partner
6. Partner

Total value of annual construction turnover, in terms of work billed to clients, in Kshs.

Annual turnover data (construction only: Kshs.

Partner	Form 2	Year 1	Year 2	Year 3
	Page no.			
1. Lead				
Partner				
2. Partner				
3. Partner				
4. Partner				
5. Partner				
6. Partner				
	Totals			

#### **APPLICATION FORM (3)**

# PARTICULAR EXPERIENCE RECORD

Name of Applicant or partner of a joint venture

# **APPLICATION FORM (3A)**

# DETAILS OF CONTRACTS OF SIMILAR NATURE AND COMPLEXITY

Name of Applicant or partner of a joint venture

Use a separate sheet for each contract.

1.	Number of contract
	Name of Contract
	Country
2.	Name of employer
3.	Employer address
4.	Nature of works and special features relevant to the contract for which the Applicant wishes to prequalify
5.	Contract role (check one) * Sole contract * Management contract * Subcontract * Partner in a joint venture
6.	Value of the total contract/subcontract/partner share (in specified currencies at completion, or at date of award for current contract)
7.	Date of award
8.	Date of completion
9.	Contract/subcontract duration (years and months) - years - months
10	Specified requirements

(Print another form for other contracts)

# **APPLICATION FORM (4)**

# SUMMARY SHEET: CURRENT CONTRACT COMMITMENTS/WORK IN PROGRESS

Name of Applicant or partner of a joint venture

Name of contract	Value of outstanding work Kshs.	Estimated completion date
1.		
2.		
3.		
4.		
5.		
6.		

# **APPLICATION FORM (5)**

# PERSONNEL CAPABILITIES

Name of Applicant

1.	Title of position
	Name of prime candidate
	Name of alternate candidate
2.	Tile of position
	Name of prime candidate
	Name of alternate candidate
3.	Title of position
	Name of prime candidate
	Name of alternate candidate
4.	Title of position
	Name of prime candidate
	Name of alternate candidate

# **APPLICATION FORM (5A)**

# CANDIDATE SUMMARY

Name of Applicant

Position		Candidate * Prime * Alternate
Candidate 1. Name of candidate information		2. Date of birth
	3. Professional qualifications	
Present employment	<ol> <li>Name of employer</li> <li>Address of employer</li> </ol>	
	Telephone	Contact (manager/personnel officer)
Fax		E mail
Job title of candidate		Years with present employer

Summarize professional experience over the last 20 years, in reverse chronological order. Indicate particular technical and managerial experience relevant to the Project.

From	То	Company/Project/Position/Relevant technical and management experience

# **APPLICATION FORM (6)**

# **EQUIPMENT CAPABILITIES**

Name of Applicant

Item of equipment			
Equipment information	1. Name of manufacturer	2. Model and power rating	
	3. Capacity	4. Year of manufacturer	
Current status	5. Current location		
	6. Details of current commitments		
Source	7. Indicate source of the equipment		
	* Owned * Rented * Leased	* Specially manufactured	

Omit the following information for equipment owned by the Applicant or partner

Owner	8. Name
	9. Address of owner
	Telephone Contact name and title
	Fax Email
Agreements	Details or rental/lease/manufacture agreements specific to the project

# **APPLICATION FORM (7)**

# FINANCIAL CAPABILITY

Name of Applicant or partner of a joint venture

Banker	Name of banker	
	Address of banker	
	Telephone	Contact name and title
	Fax	E mail

Financial information in Kshs.			Projected: previous two years	
	1.	2.	3.	4.
1. Total assets				
2. Current assets				
3. Total liabilities				
4. Current liabilities				

#### **APPLICATION FORM (8)**

# LITIGATION HISTORY

Name of Applicant or partner of a joint venture

Applicants, including each of the partners of a joint venture, should provide information of any history of litigation or arbitration resulting from contracts executed in the last five years or currently under execution (Instructions to Applicants, para. 4.8). A separate sheet should be used for each partner of a joint venture.

Year	Award FOR or AGAINST Applicant	Name of client, cause of litigation, and matter in dispute	Disputed amount (current value Kshs.)

5. Profits before taxes		
6. Profits after taxes		

Source of finance	Amount Kshs.
1.	
2.	
3.	
4.	

#### LETTER OF NOTIFICATION OF AWARD

#### Address of Procuring Entity

То:\_\_\_\_\_

RE: Tender No.\_\_\_\_\_

Tender Name\_\_\_\_\_

This is to notify that the contract/s stated below under the above mentioned tender have been awarded to you.

- 1. Please acknowledge receipt of this letter of notification signifying your acceptance.
- 2. The contract/contracts shall be signed by the parties within 30 days of the date of this letter but not earlier than 14 days from the date of the letter.
- 3. You may contact the officer(s) whose particulars appear below on the subject matter of this letter of notification of award.

(FULL PARTICULARS)

SIGNED FOR ACCOUNTING OFFICER

#### **REQUEST FOR REVIEW FORM**

#### FORM RB 1

# REPUBLIC OF KENYA PUBLIC PROCUREMENT ADMINISTRATIVE REVIEW BOARD

APPLICATION NO......OF......20.....

#### BETWEEN

.....APPLICANT

#### AND

#### FOR OFFICIAL USE ONLY

Lodged with the Secretary Public Procurement Administrative Review Board on ...... day of

......20......

#### SIGNED

**Board Secretary**