



**Kirinyaga University**

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# **STANDARD PROCUREMENT PREQUALIFICATION DOCUMENT**

**(Goods, Services, Works, Equipment, Supply and Installation  
Contracts)**

**PREQUALIFICATIONS CLOSING DATE AND TIME  
(DEADLINE FOR SUBMISSION)**

**25<sup>TH</sup> APRIL 2019 AT 10.00 A.M East African Time**

**PREQUALIFICATIONS OPENING DATE AND TIME:**

**25<sup>TH</sup> APRIL 2019 AT 10.00 A.M East African Time**

***ISSUED BY:***

***THE VICE CHANCELLOR***

***KIRINYAGA UNIVERSITY***

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***Email: [info@kyu.ac.ke](mailto:info@kyu.ac.ke)***

***P.O. Box 143-10300 Kerugoya.***

***Website: [www.kyu.ac.ke](http://www.kyu.ac.ke)***

**APRIL, 2019**

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## SECTION I- INVITATION FOR PREQUALIFICATION (IFQ)

### ***BIDDERS SHOULD READ THESE INSTRUCTIONS CAREFULLY***

1. Kirinyaga University hereinafter referred as “Procuring entity” intends to prequalify candidates for the following (supply and delivery of goods, services, equipment, installation works. Prequalification is open to all interested candidates.
2. Eligible candidates are free to make enquiries about the prequalification documents from Kirinyaga University, *P.O. BOX 143-10300, KERUGOYA* from the procurement office during normal working hours or via email to [procurement@kyu.ac.ke](mailto:procurement@kyu.ac.ke) CC [endirangu@kyu.ac.ke](mailto:endirangu@kyu.ac.ke)
3. Interested bidders should select **ONE CATEGORY ONLY PER SET OF TENDER DOCUMENTS**. Bidders who select more than one category in one set will be disqualified. Bidders should print and submit one set per category.
4. Companies registered under AGPO can also apply for prequalification for the open categories, **BUT** should ensure that they meet the Mandatory criteria indicated.
5. Applications for prequalification must be submitted enclosed in plain sealed envelopes marked with the prequalification name and reference number and deposited in the tender box at Kirinyaga University, addressed to:

**The Vice Chancellor  
Kirinyaga University  
P.O.BOX 143-10300 Kerugoya**

So as to be received on or before **25<sup>TH</sup> APRIL 2019 AT 10.00 A.M East African Time**

6. All candidates whose applications will have been received before the closing date and time will be advised in due course, of the results of their applications. Only candidates prequalified under this prequalification process will be invited to submit quotations/ proposals/ restricted tenders.
7. Candidates should clearly indicate the category in which they wish to be prequalified. This should also be clearly indicated in the sealed envelope.

**EACH APPLICATION FOR PREQUALIFICATION SHOULD INDICATE ONLY ONE CATEGORY FOR CONSIDERATION.**

8. **Candidates who wish to be considered in more than one category should apply in a separate tender document.**

## ***Mandatory Requirements for Pre-qualification***

### **A. Companies and businesses NOT registered under AGPO**

**Document submitted should be well serialized and bound. LOOSE PAPERS WILL NOT BE CONSIDERED.**

- 1) Copies of certificate of incorporation or registration of business/consultancy issued by the Registrar of business names, Kenya
- 2) Copies of pin certificate of firm/company/individual issued by KRA
- 3) Copy of valid KRA Tax compliance certificate valid as at the time of tender opening
- 4) Membership certification from regulatory & professional bodies (where applicable) as indicated in the categories
- 5) Company profile indicating company line of business, experience and volume of business handled in the last three (3) years
- 6) Audited financial statement for the last one year **OR** six months' bank statements for the company/ business/ individual.
- 7) Letters of recommendations from at least three (3) major clients where the company/ business has worked for the last three years (2015-2018). The letters of recommendations should be in the letter head of the organization and should include the contact details of that organization.
- 8) All mandatory forms signed and stamped

## **B. Companies and Businesses registered under AGPO**

**For youth, women, or persons with disabilities who wish to be pre-qualified in any category, kindly submit the following documents;**

**Document submitted should be well serialized and bound. LOOSE PAPERS WILL NOT BE CONSIDERED**

1. Copies of certificate of incorporation or registration of business/consultancy issued by the Registrar of companies/business names, Kenya
2. Copies of pin certificate of firm/company/individual issued by KRA
3. Brief Company profile indicating a specific business line.
4. Valid Certificate from AGPO. Expired certificates will not be considered
5. Copy of valid KRA tax compliance/tax Exemption certificate valid as at the time of tender opening
6. Membership certification from regulatory & professional bodies (where applicable) as indicated in the categories
7. Reference letter from the company bankers showing financial standing of the firm
8. All mandatory forms signed and stamped

**C. MANDATORY FORMS TO BE FILLED IN THE PRE-QUALIFICATION DOCUMENT BY ALL APPLICANTS**

- 1.0 Mandatory letter of application
- 2.0 General information form
- 3.0 General experience record
- 4.0 Joint Venture summary (if applicable)
- 5.0 Particular experience record
- 6.0 Details of previously undertaken contracts of similar nature and complexity
- 7.0 Summary sheet on current contract commitments/works in progress
- 8.0 Personnel capabilities
- 9.0 Candidate summary (details of directors)
- 10.0      Equipment capability
- 11.0      Financial capability
- 12.0      Litigation History

**List of Categories (Please TICK/ HIGHLIGHT only ONE). (BIDDERS WHO SELECT MORE THAN ONE CATEGORY SHALL BE DISQUALIFIED) USE A DIFFERENT TENDER DOCUMENT FOR OTHER CATEGORIES**

<b>PQ Serial Number</b>	<b>Category Description</b>	<b>Target Group</b>	<b>Bidder to select only one category per SET OF TENDER DOCUMENT (√)</b>
KyU/PQ/001/2019-2020 & 2020- 2021 FY	Supply and delivery of computers, laptops, computer accessories & office machines (Valid Certificate of accreditation from ICT Authority is Mandatory)	<b>OPEN</b>	
KyU/PQ/002/2019-2020 & 2020- 2021 FY	Supply, installation, repair and maintenance of security alarm systems and CCTV and VHF Radio Communication Equipment (Valid Certificate of accreditation from ICT Authority is Mandatory)	<b>OPEN</b>	
KyU/PQ/003/2019-2020 & 2020- 2021 FY	Supply, Delivery, repair and maintenance of furniture, fixtures and fittings	<b>OPEN</b>	
KyU/PQ/004/2019-2020 & 2020- 2021 FY	Supply, delivery, repair and maintenance of machines and equipment in clothing technology	<b>OPEN</b>	

KyU/PQ/005/2019-2020 & 2020- 2021 FY	Supply, delivery, repair and maintenance of tools, material and equipment in automotive technology	<b>OPEN</b>	
KyU/PQ/006/2019-2020 & 2020- 2021 FY	Supply, delivery and calibration of kitchen cutlery, utensils and equipment	<b>AGPO</b>	
KyU/PQ/007/2019-2020 & 2020- 2021 FY	Supply and delivery of farm inputs including fertilizers, manure, seeds E.T.C.	<b>OPEN</b>	
KyU/PQ/008/2019-2020 & 2020- 2021 FY	Supply and delivery of text books, journals and e-books	<b>OPEN</b>	
KyU/PQ/009/2019-2020 & 2020- 2021 FY	Supply and delivery of motor vehicle tyres and batteries.	<b>OPEN</b>	
KyU/PQ/0010/2019-2020 & 2020- 2021 FY	Supply and delivery of general hardware materials.	<b>OPEN</b>	
KyU/PQ/0011/2019-2020 & 2020- 2021 FY	Supply and delivery of timber and timber products.	<b>OPEN</b>	



KyU/PQ/0012/2019-2020 & 2020- 2021 FY	Supply and delivery of ICT Software's and Anti-virus (Valid Certificate of accreditation from ICT Authority is Mandatory)	<b>AGPO</b>	
KyU/PQ/0013/2019-2020 & 2020- 2021 FY	Supply, delivery and implementation of ICT Security systems (Valid Certificate of accreditation from ICT Authority is Mandatory)	<b>OPEN</b>	
KyU/PQ/0014/2019-2020 & 2020- 2021 FY	Provision of training services in ICT (registration with NITA is mandatory)	<b>OPEN</b>	
KyU/PQ/0015/2019-2020 & 2020- 2021 FY	Provision of asset valuation and asset tagging services.	<b>OPEN</b>	
KyU/PQ/0016/2019-2020 & 2020- 2021 FY	Provision of Signage and bill boards.	<b>AGPO</b>	
KyU/PQ/0017/2019-2020 & 2020- 2021 FY	Provision of architectural services.	<b>OPEN</b>	
KyU/PQ/0018/2019-2020 & 2020- 2021 FY	Provision of Legal services.	<b>OPEN</b>	

KyU/PQ/0019/2019-2020 & 2020- 2021 FY	Provision of fumigation and pest control services.	<b>AGPO</b>	
KyU/PQ/0020/2019-2020 & 2020- 2021 FY	Provision of consultancy services in ICT (Including but not limited to ERP, and other Microsoft Solutions) (Must be a Microsoft Partner)	<b>OPEN</b>	
KyU/PQ/0021/2019-2020 & 2020- 2021 FY	Contractors for small works, partitioning, repairs/renovations& maintenance (NCA Class 1-7) Valid NCA Certificate is Mandatory for applicants	<b>AGPO</b>	
KyU/PQ/0022/2019-2020 & 2020- 2021 FY	Provision of grounds maintenance landscaping services and garbage collection (NEMA license is mandatory)	<b>OPEN</b>	
KyU/PQ/0023/2019-2020 & 2020- 2021 FY	Provision of consultancy services (including baseline surveys, team building, ISO and Counseling services)	<b>OPEN</b>	
KyU/PQ/0024/2019-2020 & 2020- 2021 FY	Supply and delivery of water treatment equipment, calibration, maintenance and repair services	<b>OPEN</b>	

KyU/PQ/0025/2019-2020 & 2020- 2021 FY	Provision of Event organizing / Entertainment Services/ Hiring of Tents and chairs	<b>AGPO</b>	
KyU/PQ/0026/2019-2020 & 2020- 2021 FY	Supply, delivery, calibration, maintenance and repair of medical equipment	<b>OPEN</b>	
KyU/PQ/0027/2019-2020 & 2020- 2021 FY	Provision of staff uniforms, footwear & other protective gear	<b>OPEN</b>	
KyU/PQ/0028/2019-2020 & 2020- 2021 FY	Supply and delivery of branding services including but not limited to bill boards, tshirts, shirts, e.t.c.		
KyU/PQ/0029/2019-2020 & 2020- 2021 FY	Supply and delivery of materials in clothing technology including linen, provision of curtain materials, curtain rails & Supply & fitting of blinders, sewing threads e.t.c.	<b>OPEN</b>	
KyU/PQ/0030/2015/2016 and 2016/2017 FY	Supply and delivery of building materials including but not limited to sand, ballast, cement etc	<b>OPEN</b>	
KyU/PQ/0031/2019-2020 & 2020- 2021 FY	Supply and delivery of electrical materials, tools and equipment	<b>OPEN</b>	

KyU/ PQ/0032/2019-2020 & 2020- 2021 FY	Supply, delivery and maintenance of firefighting equipment and fire alarm systems	<b>OPEN</b>	
KyU/ PQ/0033/2019-2020 & 2020- 2021 FY	Supply and delivery of sports equipment and uniforms	<b>OPEN</b>	
KyU/PQ/0034/2019-2020 & 2020- 2021 FY	Supply and delivery of magazines, periodicals and publications	<b>AGPO</b>	
KyU/ PQ/0035/2019-2020 & 2020- 2021 FY	Supply and delivery for medical laboratory reagents for training purposes and for the University health section	<b>OPEN</b>	
KyU/ PQ/0036/2019-2020 & 2020- 2021 FY	Supply, repair and maintenance of telephone and telecommunication equipment (PABX, telephones, faxes & related accessories)	<b>OPEN</b>	
KyU/ PQ/0037/2019-2020 & 2020- 2021 FY	Repair, maintenance and servicing of motor vehicles.	<b>OPEN</b>	
KyU/ PQ/0038/2019-2020 & 2020- 2021 FY	Supply and Delivery of cleaning materials including but not limited to detergents, tissue papers etc.	<b>OPEN</b>	

KyU/ PQ/0039/2019-2020 & 2020- 2021 FY	Consultants for VCT and HIV testing and counselling services	<b>OPEN</b>	
KyU/ PQ/0040/2019-2020 & 2020- 2021 FY	Suppliers for provision of security printing to print certificate and transcripts with security features (holograms & watermarks).	<b>OPEN</b>	
KyU/ PQ/0041/2019-2020 & 2020- 2021 FY	Supply, delivery, Calibration, servicing, repair of training mechanical and electrical engineering equipment	<b>OPEN</b>	
KyU/PQ/0042/2019-2020 & 2020- 2021 FY	Supply and delivery of human drugs and medicines for the University health section	<b>OPEN</b>	
KyU/PQ/0043/2019-2020 & 2020- 2021 FY	Supply, delivery, installation, and servicing of Air conditioners, refrigerators and related items	<b>OPEN</b>	
KyU/PQ/0044/2019-2020 & 2020- 2021 FY	Supply, delivery, installation and training of Engineering learning software	<b>OPEN</b>	

**Note**

1. The IFPQ shall be advertised as per the procurement law and the regulations
2. The IFPQ provides information that enables candidates to decide whether or not to participate.
3. The IFPQ shall be incorporated into the prequalification document and the information contained in the IFPQ shall conform to it.

The Vice Chancellor,  
Kirinyaga University

## **SECTION II - INSTRUCTIONS TO CANDIDATES**

### **Notes on Instructions to Candidates**

1. The instructions to candidates should provide the candidates with adequate information to facilitate preparation and submission of a good prequalification application.
2. All the blank spaces should be properly completed by the procuring entity before the prequalification document is given to the candidates.
3. The note accompanying the clauses in this part are not part of the text. They are meant to assist the procuring entity when preparing the prequalification document. The notes should not be incorporated in the actual prequalification documents.
4. Where in the text alternative clauses are shown, the procuring entity should select those suitable for the particular contract and discard the alternative text that will not apply. This should also be clarified in the appendix to instructions to candidates.
5. The instructions to candidates may be amended or supplemented by clauses in the appendix to instructions to candidates.

## SECTION II - INSTRUCTIONS TO CANDIDATES

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## SECTION II - INSTRUCTIONS TO CANDIDATES

### 2.1 Scope of Tender

2.1.1 The *Kirinyaga University College* hereinafter referred to as the procuring entity intends to prequalify contractors for the following (supply and delivery or provision of goods or services). It is expected that prequalification applications will be submitted to be received by the procuring entity not later than (13<sup>th</sup> April 2015 at 10.00 am)

*Note* “Describe the work in sufficient detail to identify the location, nature and complexity of the works, the information should be prepared for each procurement/contract.  
Approximate quantities of major items of work should be indicated, but the estimated cost should not be disclosed in this instruction or in invitation for prequalifications.”

2.1.2 Prequalification is open to eligible firms and voluntarily formed joint ventures as indicated in appendix to instructions to candidates.

2.1.3 General information on the climate, hydrology, topography, access to site, transportation and communications facilities, medical facilities, project layout, expected construction period, facilities, services provided by the procuring entity and (other relevant date) are as specified in the appendix to instructions to candidates. The contract will be a unit price/lump sum/turnkey contract. The tender documents will be (*the tender document to be used after prequalification*).

### 2.2 Submission of Application

2.2.1 Applications for prequalification shall be submitted in sealed envelopes marked with the tender name and reference number and deposited in the tender box at (address and location) or be addressed to (Kirinyaga University College, P.O. Box 143-10300.Kerugoya) so as to be received on or before 13<sup>th</sup> April 2015 at 10.00 a.m The procuring entity reserves the right to accept or reject late applications.

*Note* “The time allowed for preparation of prequalification applications should be sufficient for applicants to gather all the information required but in any case not less than 14 days for national tenders and 42 days for international tenders from the date of first advertisement. This period may be long for very large projects, where time should be allowed for the formation of joint ventures.”

2.2.2 The name and mailing address of the applicant may be marked on the envelope.

2.2.3 All the information requested for pre qualification shall be provided in the English language. Where information is provided in any other language, it shall be accompanied by a translation of its pertinent parts into English language. The translation will govern and will be used for interpreting the information.

2.2.4 Failure to provide information that is essential for effective evaluation of the applicant's qualifications or to provide timely clarification or sub substantiation of the information supplied may result in the applicant's disqualification.

2.2.5 A consultations and clarifications meeting will be held at (*place, date and time*) at which applicants may request clarifications of the prequalification document. Attendance of this meeting will be voluntary

Note *"The information in 2.2.5 should only be used if a consultations and clarifications meeting is necessary and planned. Such meetings are normally called only for very large and/or complex procurements.*

### **2.3 Eligible Candidates**

2.3.1 This invitation for pre-qualification is open to all candidates who are eligible as defined in Kenya's Public Procurement Law and regulations and as indicated in the appendix to instructions to candidates.

2.3.2 The procuring entity's employees, committee members, board members and their relative (spouse and children) are not eligible to participate in the tender unless where specially allowed under section 131 of the Act.

2.3.3 All terms found capable of performing the contract satisfactorily in accordance to the set prequalification criteria shall be prequalified.

Note *"The eligibility criteria must comply with the law. It should not deliberately discriminate or inhibit competition*

### **2.4 Qualification Criteria**

2.4.1 Pre-qualification will be based on meeting the minimum requirements to pass in the criteria set as shown below. The declaration will be either pass or fail regarding the applicant's general and particular experience, personnel and equipment capabilities and financial position as demonstrated by the applicant's response in the forms provided in Section IV and submitted with the letter of application. The procuring entity reserves the right to waive minor deviations if they do not materially affect the capability of an applicant to perform the contract.

2.4.2 When highly specialized inputs (especially for execution of the contact) are required by the applicant from specialist sub contractors, such sub contractors and their inputs shall be described in the Standard Form 1 (General Information)

#### **2.4.3 General Experience. The applicant shall meet the following minimum criteria**

(a) Average annual turnover as main contractor (defined as billing for works in progress and completed) over the last three (3) years and

(b) Successful experience as prime contractor in execution of at least three projects (17) of a nature and complexity comparable to the proposed contract

within the last \_\_\_\_\_ (5) years. This experience should include \_\_\_\_\_  
 \_\_\_\_\_ (18)

- Note (15) *This time is normally five years but may be less if circumstances warrant I it*
- (16) *Usually not less than 2 ½ times the estimated annual cash flow in the proposed contract or works (based on a straight line projection) the coefficient may be smaller for very large or very small contract, but not less than 1.5, and should take into consideration special contract/work circumstances*
- (17) *May be reduced or increased*
- (18) *Indicate in this part the critical experience for the proposed works/contract*

2.4.4 Personnel capabilities. The applicant must have suitably qualified personnel to fill the following positions

Position	Total experience (years)	In similar works (years)	As manager of similar works (years)
Project Manager			
Other Position			
Other position			

Note (19) *List only Key management specialist positions. Do not include principals, head office personnel who are not key to the project/works and other non-specialist personnel. The experience requirements should be specified*

2.4.5 Equipment capabilities. The applicant should own, or have assured access (through hire, lease, purchase agreement, availability of manufacturing equipment, or other means) the following key items of equipment in full good working order, and must demonstrate that, based on known commitments they will be available for use in the proposed contract. The applicant may also list alternative equipment that it would propose for the contract together with an explanation of the proposal

Equipment type and characteristics	Minimum number required
1)	
2)	

3)	
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Note *Paragraph 2.4.5 applies mainly to construction works. However, it may be adapted for some heavy engineering contract, supply and installation and other contracts requiring production capacity. Insert a list of the key equipment need based on specific items or on performance criteria appropriate. The items listed shall be limited to major items of equipment that are crucial to the proper and timely execution of the contract, and items that applicants may not readily be able to purchase, hire or lease in the required time frame.*

2.4.6 Financial position. The applicant shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit and other financial means sufficient to meet the construction cash flow for a period of 5(five) \_\_\_\_\_ months, estimated at Kshs. \_\_\_\_\_ 5million (21), net of the applicants commitments for other contracts.

Note *(21) This figure should be accurate to adequately reflect the financial cash flow commitment for the contract*

2.4.7 The audited Accounts (22) for the last \_\_\_\_\_ three (23) years shall be submitted and must demonstrate the soundness of the applicant's financial position, showing long-term profitability. Where necessary the procuring entity will make inquiries with the applicant's bankers.

Note *(22) Audited Accounts requirement may be relaxed by the procuring entity especially for firms owned by individuals and partnerships where the law does not require them to be prepared.*

*(23) This period is usually five years but may be reduced in special contract/works circumstances.*

2.4.8 Litigation history the applicant should provide accurate information on any litigation or arbitration resulting from contracts completed or under its execution over the last five years. A consistent history of awards against the applicant or any partner of a joint venture may result in failure of the application.

## **2.5 Joint Venture**

2.5.1 Joint ventures must comply with the following:-

(a) Following are the minimum qualification requirements.

(i) The lead partner shall meet not less than (not less than ( %)) of all the qualifying criteria in paras's 2.4.3 and 2.4.6 above (24)

Note *(24) Care should be exercised in drafting this clause. In certain types of projects, joint ventures are formed by assembling the specialist skills of various*

*contractors (e.g. civil, electrical, mechanical, and industries). In such cases, the provisions referring to the lead partner and “other partners” must be tailored to particular circumstances of the project*

- (ii) The other partners shall meet individually not less than \_\_\_\_\_ ( %) of all the qualifying criteria given in para. 2.4.3 and 2.4.6 above
  - (iii) The joint venture must satisfy collectively the criteria of section 4, for which propose the relevant figures for each of the partners shall be added together to arrive at the joint ventures total capacity. Individual members must each satisfy the requirements of para 2.4.7 and 2.4.8 above.
- (b) The formation of a joint venture after pre-qualification and any change in a prequalified joint venture will be subject to the written approval of the procuring entity prior to the deadline for submission of bids. Such approval may be denied if (i) partners withdraw from a joint venture and the remaining partners do not meet the qualifying requirements (ii) the new partners to a joint venture are not qualified, individually or as an another joint venture; or (iii) in the opinion of the procuring entity a substantial reduction in competition may result.
- (c) Any future bid shall be signed so as to legally bind all partners, jointly and severally, and any bid shall be submitted with a copy of the joint venture agreement providing the joint and several liability with respect to the contract.
- 2.5.2 The pre-qualification of a joint venture does not necessarily pre qualify any of its members individually as a member in any other joint venture or association. In case of dissolution of a joint venture, each one of the constituent firms may prequalify if it meets all the prequalification requirements, subject to a written approval of the procuring entity
- 2.6 Public Sector companies**
- 2.6.1 Any public owned enterprise may be eligible to qualify if, in addition to meeting all the above requirements, it is also legally and financially autonomous, it operates under commercial law, and it is not a dependent agency of another public entity.
- 2.7. Conflict of Interest**
- 2.7.1 The applicant (including all members of a joint venture) shall not be associated, nor have been associated in the past, with the consultant or any other entity that has prepared the design, specifications, and other prequalification and bidding documents for the project, or with an entity that was proposed as engineer for the contract. Any such association must be disclosed and may result in the disqualification of the applicant.
- 2.8. Updating Pre-qualification Information**
- 2.8.1 Pre qualified candidates shall be required to update the financial information used for pre qualification at the time of submitting their bids and to confirm their

continued compliance with the qualification criteria. A bid shall be rejected if the applicant's qualification thresholds are no longer met at the time of bidding.

## **APPENDIX TO INSTRUCTIONS TO CANDIDATES**

### **Notes on the appendix to instructions to Candidates**

1. The appendix to candidates to tenderers is intended to assist the procuring entity in providing specific information which may not be adequately covered by the clauses in the instructions to candidates.
2. The appendix may amend any information in the instructions to candidates.
3. The procuring entity should use the appendix to ensure that all relevant information to the candidates relating to a particular pre qualification is included.

## **APPENDIX TO INSTRUCTIONS TO CANDIDATES**

The following instructions for the pre qualification of candidates shall supplement, complement or amend the provisions of the instructions to candidates.

Where there is a conflict between the provisions of the instructions to candidates and the provisions of the appendix, the provisions of the appendix herein shall prevail over those of the instructions to the candidates.

### **Note**

To be completed appropriately by the procuring entity. Reference will be made to the relevant clause of the Instructions to Candidates.

## **SECTION III - LETTER OF APPLICATION**

### **Notes on letter of application**

The letter of application will be prepared by the applicant and will follow the form presented herein.

The letter of application will be prepared on the letterhead paper of the applicant or partner responsible for a joint venture and will include full postal address, telephone numbers, fax number and Email address.

The letter of application will be signed by duly authorized representatives of the applicant.

Any clause which does not apply to the applicant in the letter of application herein shall be deleted by the applicant.



**SECTION III- LETTER OF APPLICATION**

Date .....

To the Vice Chancellor,  
 Kirinyaga University  
 P.O. Box 143-10300  
**Kerugoya**

Dear Madam,

1. Being duly authorized to represent and act on behalf of \_\_\_\_\_  
 \_\_\_\_\_ (name of firm) (hereinafter referred to as “the Applicant” ), and having reviewed and fully understood all of the pre qualification information provided, the undersigned hereby apply to be prequalified by yourselves as a bidder for the following contract(s) under (Tender No. and Tender name)

Tender number	Tender name
1.	

2. Attached to this letter are copies of original documents defining

- (a) the Applicant’s legal status
- (b) the principal place of business and
- (c) the place of incorporation (*for applicants who are corporations*), or the place of registration and the nationality of the owners (*for applicants who are partnerships or individually-owned firms*).

3. Your Agency and its authorized representatives are hereby authorized to conduct any inquiries or investigations to verify the statements, documents, and information submitted in connection with this application, and to seek clarification from our bankers and clients regarding any financial and technical aspects. This letter of Application will also serve as authorization to any individual or authorized representative of any institution referred to in the supporting information, to provide such information deemed necessary and as requested by yourselves to verify statements and information provided in this application, such as the resources, experience, and competence of the Applicant.

4. Your Agency and its authorized representatives may contact the following persons for further information.

<b>General and managerial inquiries</b>	
Contract 1	Telephone 1
Contract 2	Telephone 2

<b>Personnel inquiries</b>	
Contract 1	Telephone 1
Contract 2	Telephone 2

<b>Technical inquiries</b>	
Contract 1	Telephone 1
Contract 2	Telephone 2

<b>Financial inquiries</b>	
Contract 1	Telephone 1
Contract 2	Telephone 2

5. This application is made with the full understanding that:
- (a) bids by prequalified applicants will be subject to verification of all information submitted for prequalification at the time of bidding.
  - (b) Your Agency reserves the right to:
    - amend the scope and value of any contracts bid under this project; in such event, bids will only be called from prequalified bidders who meet the revised requirements; and
    - reject or accept any application, cancel the prequalification process, and reject all applications
  - (c) your Agency shall not be liable for any such actions and shall be under no obligation to inform the Applicant of the grounds for them
6. Appended to this application, we give details of the participation of each party, including capital contribution and profit/loss agreements, in the joint venture or association.
7. We also specify the financial commitment in terms of the percentage of the value of the <each> contract, and the responsibilities for execution of the <each> contract.
8. We confirm that if we bid, that bid, as well as any resulting contract, will be:
- (a) signed so as to legally bind all partners, jointly and severally; and
  - (b) submitted with a joint venture agreement providing the joint and several liability of all partners in the event the contract is awarded to us.
9. The undersigned declare that the statement made and the information provided in the duly completed application are complete, true, and correct in every detail.

Signed	Signed
Name	Name
For and on behalf of (name of Applicant or lead partner of a joint venture)	For and on behalf of (name of partner if any)

Signed	Signed
Name	Name
For and on behalf (name of Partner)	For and on behalf of (name of partner)

Signed	Signed
Name	Name
For and on behalf (name of Partner)	For and on behalf of (name of partner)

## **SECTION IV - STANDARD FORMS**

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## **SECTION IV - STANDARD FORMS**

### **Notes on completion of Standard Forms**

- Application Form 1 - General information  
This form is to be completed by all applicants. Where the applicant proposes to use sub-contractors the information should be supplied in this format. Where there is a joint venture, each partner shall complete the form
- Application Form 2 - General Experience Record  
This form is to be completed by all applicants. Separate sheets should be used for each partner of a joint venture. Applicants are not required to enclose testimonials, certificates or publicity materials with their applications.
- Application Form 2A - Joint Venture Summary  
This form is to be completed by joint venture applicants only.
- Application Form 3 - Particular Experience Record  
This form is to be completed by all applicants meeting the requirement set out in the instructions to candidates. Separate sheets shall be used for each member of or joint venture. Complimentary information will be given on application Form 3A.
- Application Form 3A - Details of Contracts of similar nature and complexity  
This form shall be completed by all applicants and will contain similar works completed by the applicant or a member of a joint venture
- Application Form 4 - Summary sheet. Contract commitments/work in progress  
This form is to be completed by all applicants including each member of a joint venture. It shall contain the current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.
- Application Form 5 - Personnel Capabilities  
This form is to be completed by all applicants. It shall include specific positions essential to contract implementation. The applicants shall provide the names of at least two candidates qualified to meet the specified requirements stated for each position. The data on their experience shall be supplied on Form 5A

- Application Form 5A - Candidate Summary  
This form is to be completed by all applicants. The information provided will complement information on Form 5. A separate form shall be used for each personnel
- Application Form 6 - Equipment Capability  
This form is to be completed by all applicants. It should provide adequate information to demonstrate clearly that the applicant has the capability to meet the requirements for each and all items of equipment listed in the instructions to candidates. A separate form shall be prepared for each item of equipment or for alternative equipment proposed by the applicant.
- Application Form 7 - Financial Capability  
This form shall be completed by every applicant and each member of a joint venture. It should contained financial information to demonstrate that they meet the requirements stated in the instructions to candidates. If necessary separate sheets should be used to provide complete banker information. A copy of the audited balance sheet if available should be attached. The information should include the summary of actual assets and liabilities for the last five years.
- Application Form 8 - Litigation History  
This form is to be completed by all applicants including each member of a joint venture. It should provide information on any history of litigation or arbitration resulting from contracts executed in the last five years or currently under execution. A separate sheet should be used for each member of a joint venture
- Form RB1 - Request for Review  
This form is only to be filled by the tenderer when aggrieved by the Procuring Entity and submitted to the Review Board at anytime during the tender process but not later than 14 days after date of notification of award

**APPLICATION FORM (1)**

**GENERAL INFORMATION**

1.	Name of firm	
2.	Head office address	
3.	Telephone	Contact
4.	Fax	E-mail
5.	Place of incorporation/registration	Year of incorporation/registration

Nationality of owners		
	Name	Nationality
1.		
2.		
3.		
4.		
5.		

**APPLICATION FORM (2)**

**GENERAL EXPERIENCE RECORD**

Name of Applicant or partner of a joint venture
---

Annual turnover data		
Year	Turnover	Kshs.
1.		
2.		
3.		
4.		
5.		



**APPLICATION FORM (2A)**

**JOINT VENTURE SUMMARY**

Names of all partners of a joint venture
1. Lead partner
2. Partner
3. Partner
4. Partner
5. Partner
6. Partner

Total value of annual construction turnover, in terms of work billed to clients, in Kshs.

Annual turnover data (construction only): Kshs.
---

Partner	Form 2 Page no.	Year 1	Year 2	Year 3
1. Lead Partner				
2. Partner				
3. Partner				
4. Partner				
5. Partner				
6. Partner				
	Totals			

**APPLICATION FORM (3)**

**PARTICULAR EXPERIENCE RECORD**

Name of Applicant or partner of a joint venture



**APPLICATION FORM (4)**

**SUMMARY SHEET: CURRENT CONTRACT  
COMMITMENTS/WORK IN PROGRESS**

Name of Applicant or partner of a joint venture
---

Name of contract	Value of outstanding work Kshs.	Estimated completion date
1.		
2.		
3.		
4.		
5.		
6.		

**APPLICATION FORM (5)**

**PERSONNEL CAPABILITIES**

Name of Applicant
-------------------

1.	Title of position Name of prime candidate Name of alternate candidate
2.	Title of position Name of prime candidate Name of alternate candidate
3.	Title of position Name of prime candidate Name of alternate candidate
4.	Title of position Name of prime candidate Name of alternate candidate

**APPLICATION FORM (5A)**

**CANDIDATE SUMMARY**

Name of Applicant
-------------------

Position	Candidate * Prime                      * Alternate	
Candidate information	1. Name of candidate	2. Date of birth
	3. Professional qualifications	
Present employment	4. Name of employer	
	5. Address of employer	
Telephone		Contact (manager/personnel officer)
Fax		E mail
Job title of candidate		Years with present employer

Summarize professional experience over the last 20 years, in reverse chronological order. Indicate particular technical and managerial experience relevant to the Project.

From	To	Company/Project/Position/Relevant technical and management experience



**APPLICATION FORM (7)**

**FINANCIAL CAPABILITY**

Name of Applicant or partner of a joint venture
---

Banker	Name of banker
	Address of banker
	.....
	Telephone <span style="float: right;">Contact name and title</span>
	Fax <span style="float: right;">E mail</span>

Financial information in Kshs.	Actual : previous five years		Projected: next two years	
	1.	2.	3.	4.
1. Total assets				
2. Current assets				
3. Total liabilities				
4. Current liabilities				





6. Profits after taxes				
------------------------	--	--	--	--

Source of finance	Amount Kshs.
1.	
2.	
3.	
4.	

**LETTER OF NOTIFICATION OF AWARD**

Address of Procuring Entity

\_\_\_\_\_  
\_\_\_\_\_

To: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

RE: Tender No. \_\_\_\_\_

Tender Name \_\_\_\_\_

This is to notify that the contract/s stated below under the above mentioned tender have been awarded to you.

\_\_\_\_\_  
\_\_\_\_\_

1. Please acknowledge receipt of this letter of notification signifying your acceptance.
2. The contract/contracts shall be signed by the parties within 30 days of the date of this letter but not earlier than 14 days from the date of the letter.
3. You may contact the officer(s) whose particulars appear below on the subject matter of this letter of notification of award.

*(FULL PARTICULARS)* \_\_\_\_\_  
\_\_\_\_\_

SIGNED FOR ACCOUNTING OFFICER

**REQUEST FOR REVIEW FORM**

**FORM RB 1**

**REPUBLIC OF KENYA  
PUBLIC PROCUREMENT ADMINISTRATIVE REVIEW BOARD**

APPLICATION NO.....OF.....20.....

BETWEEN

.....APPLICANT

AND

.....RESPONDENT (*Procuring Entity*)

Request for review of the decision of the..... (*Name of the Procuring Entity*) of  
.....dated the...day of .....20.....in the matter of Tender No.....of  
.....20...

**REQUEST FOR REVIEW**

I/We.....,the above named Applicant(s), of address: Physical  
address.....Fax No.....Tel. No.....Email ....., hereby request the Public  
Procurement Administrative Review Board to review the whole/part of the above mentioned  
decision on the following grounds , namely:-

- 1.
- 2.
- etc.

By this memorandum, the Applicant requests the Board for an order/orders that: -

- 1.
- 2.
- etc

SIGNED .....(Applicant)

Dated on.....day of ...../...20...

**FOR OFFICIAL USE ONLY**

Lodged with the Secretary Public Procurement Administrative Review Board on ..... day of  
.....20.....

**SIGNED**

**Board Secretary**